



**ANTI-MONEY LAUNDERING POLICY**



## About Us

The trading name Alpha Tradex, its logo, trademark and website [www.alphatradex.com](http://www.alphatradex.com) belong to Alpha Tradex Limited (referred as the “**Company**”), is incorporated under the laws of St. Vincent and the Grenadines (Registration 24950 IBC) having its registered office at The Financial Services Centre Stoney Ground, P.O Box 1823 Kingstown, VC0100 St. Vincent and the Grenadines. The Company is incorporated as an International Business Company under the Amendment and Consolidation Act, Chapter 149 of the Revised Laws of St. Vincent and the Grenadines, 2009.

The Objects of the Company are all subject matters not forbidden by the Amendment and Consolidation Act, Chapter 149 of the Revised Laws of St. Vincent and the Grenadines 2009, including but not limited to exclusively commercial, financial, lending, borrowing, trading, servicing, and the participation in other enterprises as well as to provide brokerage and managed account services in currencies, commodities, index, CFDs and leveraged financial instruments.

Unless stated otherwise, all terms included in our Complaints & Dispute Procedures shall have the meaning given to them herein. Where the context requires, words importing singular shall include plural and vice versa. Words importing masculine shall include feminine and vice versa.

**Alpha Tradex** complies to international laws and regulations to prevent against money laundering including the use of our financial services for the purpose of money laundering or terrorist financing. This policy may be modified or amended from time to time in accordance to international AML laws as we collaborate with multiple international regulatory authorities globally to ensure the safety and security of our clients’ funds and also prevent against criminal activities. This policy is not intended to be binding or imposing or seeking to impose any contractual binding or obligations with **Alpha Tradex Limited**. We commit to preventing any money laundering activities and adhere to regulatory requirements which include:

- i) KYC (Knowing-Your-Clients)
- ii) Reporting any observed and identified suspicious activities
- iii) Safe keeping of clients’ data and records
- iv) Quarterly training and refresher courses for our staff

For further inquiries or concerns about Anti-Money Laundering procedures or regarding this policy, kindly contact our Compliance Team: [compliance@alphatradex.com](mailto:compliance@alphatradex.com)

## **ARTICLE 1 – MONEY LAUNDERING**

Also known as “Dirty money”, is the act of concealing profits from illegal activities and/or corruption and converting these profits into “legitimate” assets. Known illegal activities; drug trafficking, terrorism, corruption, criminal activities, etc. Commonly involves transferring or running of funds through foreign banks, financial institutes or better known as legitimate businesses to convert said illegal profits into legitimate funds/assets.

Breakdown of money-laundering process:

- i) Placement
- ii) Layering
- iii) Integration

*“Placement refers to the act of introducing “dirty money” (money obtained through illegitimate, criminal means) into the financial system in some way. Layering is the act of concealing the source of that money by*

### **Alpha Tradex Ltd**

*way of a series of complex transactions and bookkeeping tricks. Integration refers to the act of acquiring that money in purportedly legitimate means.”*

Sourced from Investopedia

Read more: [Money](#)

[Laundering https://www.investopedia.com/terms/m/moneylaundering.asp#ixzz5PdJ2HNF0](https://www.investopedia.com/terms/m/moneylaundering.asp#ixzz5PdJ2HNF0)

## **ARTICLE 2 - AML POLICY**

Due to the seriousness and strictness of this issue globally, we at **Alpha Tradex** have researched and set preventive measures against practices of such activities. Our precautionary preventive system ensures security of our clients' data, safety of our clients' funds and detection of early fraud/money-laundering activities in the Company. Our extensive procedures include KYC (Know-Your-Customer) due diligence, compliance of policy, auditing, quarterly AML refresh modules, monitoring of monetary transactions and record keeping.

As such, our clients will/may be categorized as follows:

- i) Individual Client
- ii) Corporate Client

## **ARTICLE 3 - KYC (KNOW-YOUR-CUSTOMERS)**

Every client that wishes to join **Alpha Tradex** has to provide documents as required by our compliance department and be approved before funding and trading on our platform. Our compliance department vets the documents provided to ensure registered clients are non-risk, non-red-flagged, and not from countries known of terrorism activities as per United Nations statement. All client information and data are private and confidential; it will not be distributed, copied, re-sold, and are for the sole purpose of compliance and record keeping only. In order to ensure client data security and Company compliance against money laundering, **Alpha Tradex** does not accept cash payments or issue cash payments. The Company reserves the right to suspend and/or terminate any clients' account or business association if regarded/suspected to be illegal, or related to money laundering, or conducting suspicious activities, or does not adhere to compliance regulations, or is a high-risk figure if deemed so/necessary by our compliance department. Required documents:

## **ARTICLE 4 - INDIVIDUAL ACCOUNTS**

### Proof of Identity

- i) High resolution copy of government issued ID (Passport/National ID/Driver's License) (front & back copy for National ID and Driver's License)
- ii) Validity of document should be at least 3 months
- iii) Clear visibility of picture (and signature if provided)

### Proof of Address

- i) \_\_\_\_\_ High resolution copy of utility bill within past 90 days (water/gas/telephone/electricity) or bank statement/card statement
- ii) \_\_\_\_\_ Name must match registered account name

### **Alpha Tradex Ltd**

The Financial Services Centre Stoney Ground, P.O Box 1823 Kingstown, VC0100 St. Vincent and the Grenadines  
Contact: +41315281429 Email: [support@alphatradex.com](mailto:support@alphatradex.com) Site: [www.alphatradex.com](http://www.alphatradex.com)

## **CORPORATE CLIENTS**

- i) Certificate of Incorporation
- ii) Commercial Registry documentation or equivalent
- iii) Proof of Identity for Directors, UBO, Shareholders and Beneficial owners
- iv) Memorandum and Articles of Association or equivalent
- v) Proof of company address
- vi) List of Shareholders and Directors
- vii) Nature of business summary or description

## **ARTICLE 5 – DEPOSITS AND WITHDRAWALS**

Our compliance and auditing team conducts checks for suspicious activities on a routinely basis and reports anything suspicious or red-flagged to relevant authorities and global regulatory bodies. We deploy strict measures on monetary transactions to prevent mishandling/misappropriation of funds and avoid suspicious transactions. Clients are required to comply to the following measures:

- i) Account holder name must match bank or card holder name when performing a transfer of funds
- ii) Transferring of funds into a different bank account or card other than account holder is strictly prohibited unless with authorisation from relevant authorities or legal entity (with proof of documents and signature) and approval from compliance team
- iii) In the event where deposit method is unavailable for withdrawal, client may withdraw through another channel provided necessary proof of identity documents have been provided to the Company subject to approval and agreement from the Company
- iv) In the event where client deposited his account using various payment systems or methods, an agreement and AML policy declaration will have to completed and signed by account holder to select a single payment system or method of withdrawal and both accounts' names must match

The Company reserves the right to freeze client account, hold withdrawals and funds pending investigation in the event of suspicious activities, or if provided Proof of Identity documents are found to be untrue. The Company is not obligated to inform client of any report made to relevant authority or regulated bodies. Upon signing up with **Alpha Tradex**, client agrees to comply to compliance and adhere to pre-set requirements and regular activity monitory of account activity. The Company reserves the right to validate all collected documents provided by clients. The Company reserves the right to refuse your business if your funds are deemed to be sourced from illegal criminal activities. The Company reserves the right to amend and/or update its AML Policy from time to time as required by regulation.